Name	Financial markets law
Component modules	
Scientific-disciplinary sector	GIUR-02/A
Year of course and semester of provision	2nd year – 1st semester
Teaching language	English
Didactic load in university formative credits	6
Number of hours of total assisted teaching activities divided between DD and ID	Delivered Didactic: 36 Interactive Didactic: 6
Lecturer	Guido Doria
Specific learning outcomes	Upon completing this course, students will possess the essential analytical tools to evaluate financial markets law within the current market environment. Students will be able to understand financial intermediaries, markets, and the relevant supervisory agencies, with a particular focus on financial markets regulation, solicitation of the public, services and investment firms, institutional investors, company listed shares rules and controls on securities market. The course equips students with the necessary tools and insights to navigate the complexities, challenges, and strategic phases of financial markets regulation, allowing them to put their acquired knowledge into practice. Furthermore, students will develop the capacity to leverage key databases and information sources to formulate independent, data-driven strategic evaluations. By the end of the program, students will possess the professional communication skills and specialized vocabulary required to effectively present and debate financial markets law and regulation, both in general terms and within the specific profiles explored during the course.
Program	Part I – (i) examination of banking activity and its regulation, with particular attention to the European regulatory framework; (ii) study of the main banking contracts, with a focus on relationships between banks and businesses. Part II – (i) examination of the activity of financial intermediaries and their regulation, with particular attention to European law; study of the main contracts involving financial intermediaries, with a focus on relationships between intermediaries and businesses.
Types of didactic activities and relative methods of carrying them out	Teaching is mainly delivered through lectures. In addition to lectures, the course also involves a number of hours of interactive teaching (at least one hour for each ECTS). The lectures will be integrated with exercises that will take place in the classroom and present specific case studies to the students (all related materials will be made available in advance among the teaching material). ID: class exercises; case studies
Learning evaluation methods and criteria	The assessment of each student's preparation will be carried out through an oral exam. The oral exam consists of open questions on the entire syllabus, which test students on their analytical skills, autonomy of judgment and especially linguistic accuracy, as well as on their ability to represent in a clear and appropriate way the discipline regulating entrepreneurs, companies and insolvency procedures. In particular, the oral exam evaluates students' comprehension of the course material, requiring them to formulate answers that demonstrate the application of acquired knowledge.

	Students will be expected to present the topics explored during the course with independent judgment, utilizing appropriate technical terminology. The questions will be designed to test students' analytical skills, independent critical thinking, and the ability to discuss core concepts fluently and accurately.
Criteria for measuring learning and assigning the final grade	The assessment of learning involves the assignment of a final grade. The student must demonstrate that he/she has acquired sufficient knowledge of the topics covered during the course and that he/she is able to present them in a sufficiently accurate and appropriate manner.
Prerequisites	
Didactic material used and recommended didactic material	Italian Banking and Financial Law: Intermediaries and Markets (Palgrave Macmillan Studies in Banking and Financial Institutions) (English Edition), or Matthias Haentjens, Pierre de Gioia Carabellese (2020). *European Banking and Financial Law*. Routledge, or Fatih Bugra Erdem (2026). *The Principles of International Banking, Finance and Investment Law: Global Best Practices from the Group of Twenty*. Springer Notes about insolvency law in Italy after the year 2022 Teaching materials provided by the teacher