

Announcement for admission competition to the International Research Doctorate in:

"ECONOMICS AND MANAGEMENT OF SUSTAINABILITY AND INNOVATION"

A.Y. 2021/2022 - XXXVII Cycle

D.P. n. 175/21

THE CHAIRMAN

Having regard to the statutes of LUM GIUSEPPE DEGENNARO University of Casamassima (Ba). Having regard to the Ministerial decree nr. 244 dtd 30.04.1999;

Having regard to the Law of 3 July 1998 n.210, art.4 "PhD program";

Having regard to Ministerial decree of 18/06/2008 with which has been decided the amount of the scholarships for the PhD courses.

Having regard to the Law 30 December 2010 n. 240, art. 19 "Provisions on the PhD programs". Having regard to the Ministerial Decree nr. 45 of 8/02/2013 published on the Italian Official Gazette nr. 104 of 6/05/2013.

Having regard to the "University Regulations on Research Doctorates" issued with D.P. 184/20 of 30.12.2020 according to the rules of DM. 45 of 8/02/13.

Having regard to the note of 16.03.2021 nr. 7403 with which the Ministry has send the "Guidelines for the PhD courses 37° cycle A.Y. 2021/2022".

Having regard to the proposal of renewal for the XXXVII cycle a.y. 2021/2022 of the International Research Doctorate in "ECONOMICS AND MANAGEMENT OF SUSTAINABILITY AND INNOVATION" with Main Office at the LUM GIUSEPPE DEGENNARO University of Casamassima (BA) received by the Teaching Staff Council.

Having regard the authorization of ANVUR Agency published on the web site of the PhD program.

Having regard the public announcement n. 1/POC/2021 "Dottorati di ricerca in Puglia XXXVII Ciclo", with which the Regione Puglia aims to support the implementation of higher education and post-graduate doctoral level specialization for highly qualified disciplinary area of Apulian Region through the funding of additional regional scholarships to attend the XXXVII cycle research doctorate courses accredited to pursuant to M. D. no. 45 dtd 08/02/2013;

Considering that, due to the presentation of a single application for additional regional scholarships, not exceeding 2/3 of the number of the PhD scholarships fully financed by the University for each PhD program activated for the XXXVII cycle, in the hypothesis of favorable evaluation and admission to the aforementioned funding, the number of places with scholarship offered in this announcement could increase and these scholarships may be awarded in favour of thos who are usefully classified in the admission ranking to the XXXVII PhD courses cycle for the academic year 2021/2022;

Having regard to the resolution taken by the Academic Senate of 15/07/2021 with which is approved the renewal of this PhD course for the XXXVII cycle – A.Y. 2021/2022;





Having regard to the resolution taken by the Board of Directors of 16.07.2021 which approves the budget for the renewal of this PhD course for the XXXVII cycle – A.Y. 2021/2022

DECREES

Art. 1 Activation

A public selection process is announced, by University LUM GIUSEPPE DEGENNARO – Casamassima (BARI), for the admission to the International Research Doctorate in "Economics and Management of Sustainability and Innovation", XXXVII Cycle - A.Y. 2021/2022 (the information on this PhD course can even find on the web site of the University at the following address: https://www.lum.it/economics-and-management-of-sustainability-and-innovation):

International research doctorate in "ECONOMICS AND MANAGEMENT OF SUSTAINABILITY AND INNOVATION"

Deadline for the presentation of the application form: September 3rd, 2021 (according with the provisions of art. 3)

Main Office: University LUM GIUSEPPE DEGENNARO – Casamassima (BA) – Italy

Partner Universities MEGATREND UNIVERSITY, BELGRAD - Serbia

PhD program duration: 3 years

Number of Places Available: 5

Nr. 4 with scholarship

Nr. 1 without scholarship

Coordinator: Prof. Angeloantonio RUSSO – University LUM GIUSEPPE DEGENNARO – Casamassima (Bari)

Admittance procedures: evaluation of the qualifications, oral exam, and research project.

Language of the admittance procedures: English

Web address: https://www.lum.it/economics-and-management-of-sustainability-and-innovation/

Research fields:

- Social Issues in Management
- Sustainable finance
- Accounting and non-financial reporting
- Economics and sustainable development





Art. 2.

Admission requirements

- Any candidate can be admitted to the selection process, without restriction of age or citizenship. They must have a *laurea* degree (an old system four-year university degree), before the announcement deadline in accordance with Italian law n. 341/90. Or, a Second level specialization degree in accordance with Italian law Decree M.D. 509/99 or a Magisterial degree (five year degree course) in accordance with Italian law Decree M.D. 270/04, in Economics, Law, Managerial Engineering, Civil Engineering Statistics, Geological, Geographical, Forest and Environmental Sciences, Architecture and building engineering-architecture or equivalent University degrees, or similar foreign academic qualifications.
- 2. Those who have a degree of a foreign University, which hasn't been recognized as equivalent to one of the above-mentioned degrees awarded by Italian Universities, must apply for the declaration of equivalence to the sole end of admittance to the competition of the Research Doctorate. He has even to enclose any useful document in order to enable the Teaching Staff Council to make an appropriate assessment of the degree in question (Annex 1).
- 3. Those who hold a degree, obtained in a foreign University, who are unable to provide the required documents before the competition, will be admitted with reserve. In that case, the documents will be provided before the enrolment. The same dispositions are also valid for Italian candidates who hold a degree, obtained in a foreign University, which has not been recognized as equivalent to one of the above-mentioned degrees awarded by Italian Universities.
- 4. The Italian candidates who get the degree within the 31/10/2021 can participate to the admission exam. They will be admitted "with reserve" and they will be excluded from the pass-list if they will not get the degree within that date.

Art. 3

Presentation of the admission application

registered copy or to PEC address: concorsilum@pec.it.

The application form, whose facsimile is available on the website of the University, https://www.lum.it/economics-and-management-of-sustainability-and-innovation/#admission-2, must be filled in, signed, scanned and sent **in advance** together with all the other required documents, **in digital format** (PDF or similar), **within September** 3th, 2021 to Dr. Mariateresa Santaloia (santaloia@lum.it), the candidate will receive a reply to the same e-mail address certifying the receipt of the sent documents and attaching the scanned

In the title of the e-mail, it is necessary to quote ""Admission PhD EMSI 37 Cycle".

The **candidates** are expected to pay a contribution of \leq 55.00, as entrance examination fee, by bank transfer, to the following current account:

Banco Popolare filiale di Viale della Repubblica 73 Bari (iban IT64T050340400000000021504) current account n. 21504 held by Università LUM GIUSEPPE DEGENNARO specifying "Contribution for the partecipation to the





admission test of the PhD EMSI 37 Cycle". This amount is not returnable in any case.

Candidates will not be admitted unless they have <u>declared</u> under their own responsibility, the following information on their application form:

- a) their surname and name, date and place of birth, tax code, citizenship, place of residence, contact address chosen at the aims of the selection process, including post code, telephone number and e-mail address;
- b) their degree, specifying when (date) and where (University) it was awarded, or equivalent degree obtained abroad. Those who hold a degree, obtained in a foreign University, which has not been recognized as equivalent to one of the above-mentioned degrees awarded by Italian Universities, must ask for the declaration of equivalence with the sole end of admittance to the competition for the Research Doctorate. To this end, it is necessary to enclose the translated and legalized degree certification containing certified transcripts of records of all examinations taken by the candidates and their study plan. Furthermore, candidates should enclose any other documents, translated and legalized, that they consider useful for the above-mentioned declaration of equivalence (Annex 1) (see also art. 2).
- c) a commitment to attend the Doctoral course full-time, at all the partner Universities, in accordance with the procedures set out by the Teaching Staff Council.
- d) their level of knowledge of the English language and of any other foreign languages (satisfactory, good, excellent).
- e) a commitment to communicate information about any change of residence or contact address chosen for the selection process.

Candidates will not be admitted unless they have <u>enclosed</u> under their own responsibility, the following documents at their application form:

- a) Curriculum vitae et studiorum duly signed;
- b) An outline (in English) of their Research Project (max 4,000 words);
- c) List of the qualifications and any other documents they want to be evaluated;
- d) copy of the contribution of € 55,00 as entrance examination
- e) copy of an identification document, duly signed.

Moreover, at least two letters of reference should be delivered by the deadline of this call, at least nr. 2 letters of reference **through email as .pdf files, and sent by the teachers or experts who are providing the letter** at the above indicated email address (santaloia@lum.it).

People who have disabilities should specify on the application form, according to current regulations, any aid they require and/or any request for additional time to complete the selection examination.

The University Administration will accept no liability for communication problems due to candidates providing inaccurate details of their residence or contact address, or to any delay in their notifying the Administration of any changes to said details, or to failings of the postal or telegraphic services beyond the Administration's control.





Art. 4

Exclusions

The University administration can make in any moment, until the decree of appointment of the winners, the exclusion from the competition because of lack of the admission requirements.

The clearly justified exclusion will be done by Presidential decree.

The candidates that declare something not true in the application form will forfeit the right to participate to the entrance admission.

Art. 5

Admission process

The Research Doctorate entrance examination consists of the evaluation of qualifications, an oral exam, and the evaluation of the research project.

The aim of the entrance examination is to assess the candidates' aptitude for scientific research.

The admission exam will be held no later than **September 30th**, **2021**.

Written notice of the date, time and details of the exam will be communicated on the webpage https://www.lum.it/economics-and-management-of-sustainability-and-innovation/ on the Doctorate section without any other advice.

All the admission examinations will be held in English.

In order to be admitted to the admission examination, candidates are expected to provide a valid identification document attached to the call for admission.

Candidates who are absent on the day of the admission examination, for whatever reason, will be deemed to have withdrawn their application.

Candidates who have not an Italian permanent address are allowed to ask for taking the oral exam through online meeting systems. The specific request has to be sent by email no later than the deadline of this call for application. An email has to be sent to the following email addresses (santaloia@lum.it).

Art. 6

Evaluation of the admission examination

The evaluation of the candidates by the Examining Board will be in hundredth; in order to be admitted to the PhD program the candidate must have a minimum score of 50/100 out of a maximum score of 100/100.

A sufficient evaluation by the Examining Board in the different exam is synthetized as follows:

a) The maximum score for the qualifications and for the other documents submitted to the Examining Board's evaluation is 20/100.





- b) The maximum score for the research project is 50/100.
- c) The maximum score for the oral exam is 30/100.

In a first stage, the Examining Board will assess the qualifications and other documents, with specific regard:

- The academic career (exams held at universities, with grade and number of credits);
- Possible professional experience and other qualifications held by the candidate:
- Possible letters of reference supporting the candidates submitted by faculty members of the candidate's University, or other Universities, or experts providing evidence of the strengths of the research attitude of the candidate;
- Possible publications.

The research project should focus on one of the research fields indicated in this call (see art.1) and must be written following the indications provided in Annex 2 to this call. The research project will be changed and/or improved throughout the 3-year program once the candidate will be admitted to the program.

The oral exam consists of an interview aimed at ascertaining the preparation, skills and aptitudes of the candidate for scientific research and his/her personal motivations. The interview is aimed at verifying the candidate's knowledge on topics concerning the educational and scientific field of the PhD program and includes the formal presentation by the candidate of the proposed research project.

At the end of the evaluation process, the Examining Board fills a general ranking based on the vote that the candidates have obtained after the evaluation of the qualifications and in the interview.

Art. 7

Examining Board

The Examining Board is made of 5 members, appointed among the full professors, associate professors and assistant professors at the University LUM GIUSEPPE DEGENNARO and/or of the Partner Universities. Nr. 2 substitutes are appointed among the full professors, associate professors and assistant professors at the University LUM GIUSEPPE DEGENNARO who will replace one or more effective members in case of impediment. To the Examining Board could even participate up to two advisers belonging to private or public research centers (even foreigner ones).

Before the admission examination, the Examination Board will decide the criteria for the grades to be given to the qualifications and the other documents submitted to the evaluation and will publish those on the PhD program web site.

The evaluation of the qualifications will be published before the oral exam on the PhD program web site. The Examining Board will publish on the PhD program website the results of the admission examination providing the final ranking for the places available.





The general merit-based rankings will be approved by Presidential Decree and will be published on the notice board of the University LUM GIUSEPPE DEGENNARO - S.S. 100 Km. 18, CAP 70010 Casamassima - (Bari) - Italia and on the website of the above-mentioned University.

To the admitted candidates will be send a communication by means able to guarantee the receipt (email or PEC).

Art. 8 Admission

Candidates will be admitted to the Doctorate program according to the ranking list and up to the number of available places established for the Doctorate. In cases of equal grade, precedence will be given to the youngest candidate.

The admitted candidates will be excluded if their acceptance is not received within 15 days from the communication of the results of the admission examination on the website.

If a successful candidate forfeits his/her right to a position before the beginning of the course, the next candidate in the rankings will be offered the place, according to the ranking list order.

Art. 9 Awarding

Candidates who have been admitted <u>must</u> present their personal information and their application form for enrolment, in person or by mail, to the PhD Office of this University, on plain, unstamped paper, for the attention of Dr. Mariateresa Santaloia, within 15 days from the communication of the results of the entrance admission on the website.

They should also include the following declarations:

- a) self-declaration of citizenship;
- b) self-declaration of the University degree with official transcripts of records, of the date and of the University;
- c) declaration in which the candidate states that he/she has not enrolled on any University course and has no intention of doing so for the legal duration of the Doctorate course;
- d) declaration in which the candidate states that he/she has not enrolled on any Specializing Courses, and in cases where he/she has already done so the candidate agrees to suspend or interrupt the course before the Doctorate course begins;
- e) declaration in which the candidate states that he/she has not benefited from previous Doctorate scholarships;
- f) declaration in which the candidate states whether or not he/she is a State employee;
- g) self-declaration of receiving or not Research allowance;
- h) Self-declaration (only for the scholarship winners) of the income regarding the fiscal year before the starting academic year of the program.





All documents written in English must be translated and legalized by the appropriate qualified Italian diplomatic or consular representatives abroad and must comply with the rules in force in that State.

Candidates must enclose a copy of a valid identity document, duly signed, the payment of ADISU Tax, the payment of the contribution for insurance for third-party liability against damage, and two passport-size photographs and even, for the winner without scholarship the payment of the annual fee as specified in the following art. 10.

Art. 10

Enrolment fee

When they enroll, Scholarship winners are expected to pay a contribution of 50 Euros towards the annual insurance for third-party liability against damage, as well as stamp duty for the application on the following current account:

Nr. 21504 - Banco Popolare - Sede di Bari - Viale della Repubblica 73 (IT 64 T 05034 04000 000 000021504) held by Università LUM GIUSEPPE DEGENNARO specifying "contributo per assicurazione I anno Dottorato internazionale di ricerca in "Economics and Management Sustainability and Innovation', XXXVII Ciclo, anno I".

Doctorate students (who have not win the scholarship) are expected to pay a contribution of \in **4.250,00** per year. The contribution must be paid, by Bank transfer on the following current account:

Nr. 21504 - Banco Popolare - Sede di Bari — Viale della Repubblica 73 (IT 64 T 05034 04000 000 000021504) held by Università LUM GIUSEPPE DEGENNARO specifying "contributo per iscrizione I anno Dottorato internazionale di ricerca in "Economics and Management of Sustainability and Innovation XXXVII cycle".

Moreover all the PhD students have to pay the Regional Tax for the Right to study according to art. 11 of regional law nr. 45/2012. More information will be given in the enrolment form.

Art. 11

Scholarships

Candidates admitted to the PhD program according to the ranking list will benefit from the scholarships up to the number of scholarships available. In cases of equal merit, precedence will be given to the youngest candidate. The annual amount of the scholarship is euro 18.880,00 gross of the charges to be borne by the University equal to euro 3.544,84 per year and of the social security charges for the amount paid by the student.

The scholarship will be 50% higher than the annual scholarship for research periods abroad (as provided by Italian Law). Scholarships are paid every month, ex post.





The scholarship will not be paid out in case the scholarship winner, in the year before the starting up of the Doctorate, has a taxable income of more than \in 25.000 (twenty-five thousand).

Scholarships are confirmed for the following Academic Year if the Teaching Staff Council validates the results achieved by the PhD students and their income.

Scholarships cannot be combined with other scholarships awarded for the same purpose. Those who benefited from a Doctorate scholarship, even for only one year, cannot benefit from a further scholarship.

The scholarships are incompatible with:

- subordinate employment with a ended of open ended contract in private of public enterprises, except if the employer puts him on leave without contributions;
- Commerce or industry activities;
- Working contracts with Public authorities as foreseen by article 7 item 6 of D.lgs. 30 March 2001, n. 165;
- Collaboration contracts as foreseen by article 61 item 1 of D.lgs. 10 September 2003, n. 276.

The candidate who belongs of one of these categories and who has received the scholarship will decays.

Art. 12

PhD students' duties

Enrolled students must attend the Doctorate courses held in the University, in accordance with the procedures established by the Teaching Staff Council and must carry out their research studies and activities regularly within the structures intended for that specific aim, in accordance with the procedures established by the Teaching Staff Council.

The admission to the PhD course implies an exclusive and full time engagement of 1.500 hours per year

The PhD students must stay in the University's structures at least 4 days a week and they have to fill daily the "PhD student's Register of Activity" and to sign it, where he has to specify the hours and the activities he has done. He has not to stay in the University during the period of closure of the buildings and (if it do not coincide) during the annual periods of rest. This disposal is applied compatibly with what is established in the regulation, which disciplines the jointed frequency between the PhD program and the Medicine specialization schools, and even according to which is established by the conventions with the enterprises in case of industrial curricula in cooperation with them. The "PhD student's Register of Activities" is deposited in the "PhD Office".





The PhD student, in its training project, can make, after clearance of the TSC and advice of the Didactic structures, without any increase of the PhD scholarship, activities of tutoring for the students of BA degree and MS degree, and even, integrative teaching for each academic year.

The PhD student must prepare a detailed six-monthly Report of the done activities, both of teaching and of individual research. The above mentioned six-monthly report must be even signed by the PhD student's Tutor. It has to be given to the "PhD Office" in order to be at complete disposal of the TSC and of the Coordinator that will use it to prepare the "Annual report of the Research activity of the PhD student" to be presented to the Academic senate.

The public employees admitted to the PhD program can have for all the period of the program the expectation foreseen by their contracts. The expectation for the employees under public law, of extraordinary leave for educational purposes in order to study, is granted if it is compatible with the requirements of the public office by which he/she is employed, according with article 2 of the Law 13 August 1984, nr. 476, and subsequent amendments and integrations, with or without salary and unless there is an expressed claims waiver only if they are enrolled for the first time to a PhD Program, regardless the kind of program.

The interventions foreseen by the Leg. Decree nr. 68 of 29.03.2012 are extended to the PhD students.

The female PhD students are protected during their pregnancy as foreseen by the Decree of the Minister of Labor 12.07.2007, published on Official Journal nr. 247 of 23.10.2007.

The attendance of the PhD program can be suspended, after decision of TSC, for at maximum one year maintaining the right to have the scholarship, except the interruption of the payment of it, that will be payed after the PhD student will start to attend the PhD program again. It will arrive only in case of Pregnancy, military service or Civil service and severe and documented illness.

The PhD student cannot attend at the same time a Specialization school or a Degree. If the winner is enrolled to a Specialization school or to a Degree, he has to suspend to attend those courses before the beginning of the PhD program.

The PhD students who attend the TFA (Active Traineeship) or to Masters or Specialization Course can obtain to freeze the beginning of the PhD program for at maximum 3 months. This period can be recovered within the legal duration of the PhD program according with the advice of the TSC. If this period will be longer that 3 months, and no longer that one year, the activities of that PhD program must be deferred.

The attendance of the Doctorate Program can be suspended in the following cases, according to the Teaching Staff Council decision:

- maternity;
- serious, documented illness;





The TSC can propose with a motivated decision, after having listened the PhD student, that he can be temporarily suspended by the program, or to be excluded by it with the consequent total or partial loss of his scholarship in case of:

- negative assessment by the Teaching Staff Council of the results achieved during the academic year;
- overcoming of the maximum number of absence as it is allowed by each PhD program regulation;
- unjustified and prolonged absences;
- behaviors in contrast with the rules of incompatibility.

In the aforementioned cases, the scholarship will be suspended and the scholarship holder will be obliged to pay back all sums received during the year to which the disciplinary action relates.

Art. 13

Evaluation of research activities

The doctorate program foresees a number of at least 9 compulsory courses to be held in the first two semesters, for a number of hours of at least 200. At the end of each course, it is foreseen a written exam, normally a paper of research. At the end of the first two semesters it is foreseen a written exam on all the topics of the PhD program of that period. During the second year the PhD student will make a research and tutorship activity for a maximum of 1,500 hours. At the end of the fourth semester there will be an oral exam during which the PhD student will discuss his/her project of the PhD thesis in front of an Examining board duly appointed for the purpose as of the regulations defined by the by the Teaching Staff Council. If the PhD student will not pass one of the above-mentioned exams, the Teaching Staff Council will ask the Rector to exclude that PhD student. The PHD program will end with the discussion of a thesis in front of a special Examining Board; the thesis is prepared mainly during the third year for overall 1,500 hours of research.

Art. 14

Doctorate Degree

The Doctorate Degree, awarded by the Chairman and by the Rector of University LUM GIUSEPPE DEGENNARO, will be obtained following successful completion of the final examination. The examination can be repeated only once in accordance with art. 8 of the "University Regulations on Research Doctorates".

For the final examination, on the advice of the Teaching Staff Council, the chairman will appoint a special Examining Board, composed of three effective members and three supply members chosen from among the professors who have specific knowledge in one of the fields of research of the Doctorate, in accordance with art. 8 of the "University Regulations on Research Doctorates".

Art. 15 Person Responsible for the Procedure





The person responsible for the administrative procedure is Dr. Mariateresa Santaloia (Tel: 0039-080.6978219 or 0039-080.6978111; Fax: 0039-0806977122; e-mail: santaloia@lum.it)

Art. 16

Processing of Personal Data

As regards the provisions of Reg. UE679 / 2016, concerning the protection of persons and other subjects on the processing and dissemination of personal data, Dr. Mariateresa Santaloia, as owner of the data relating to this competition, informs that the processing of the data contained in the competition applications is aimed solely at the management of the competition activity and that the same will take place with the use of IT procedures and paper filing of the related documents. The Office also specifies the obligatory nature of the provision of data and the consequence of non-admission to the competition in case of refusal to supply the same. Candidates enjoy the rights referred to in art. 7 of the aforementioned law including the right to access the data concerning them, as well as some complementary rights including the right to update, correct, integrate or delete erroneous, incomplete or collected data in terms that do not comply with the law. Advertising of the data on the university's website -Contests section - is subject to art. 7 "Duration of publication obligations (Article 8.14, paragraph 2, 15 of Legislative Decree No. 33/2013) of the Guidelines on the processing of personal data, also contained in administrative documents and deeds, carried out for purposes of publicity and transparency on the web from public subjects and from other obliged bodies "published in the GURI nr. 134 of 12.06.2014.

Art. 17

Referral Regulations

For any matters not considered in the present announcement, reference will be made to the law 30 December 2010 n. 240, art. 19 "Provisions on the PhD programs", the D.M. n. 45 of 08 February 2013, published on Official Gazette nr. 104 of 6/05/2013, the note nr. 3315 with which the Ministry has send the "Guidelines for the PhD courses for the 37° cycle A.Y. 2021/2022" and the "University Regulations on Research Doctorates" and the PhD Regulation Statement.

This announcement will be published on the LUM website, on Euroaxes and on Italian University Ministry websites as foreseen by the regulations in force.

Casamassima, 23,07.2021

THE CHAIRMAN OF BOARD OF DIRECTORS

Prof Emphuele Degennaro



Annex 2

Research project

Please use the following format

Fitle page should include:
litle:
Author:
Abstract (max 250 words):
(eywords (max 6 keywords):
Research field (see art. 1):
EL code (max 3 codes https://www.aeaweb.org/jel/guide/jel.php):

Research project should include the following paragraphs:

- 1.1. Literature review
- 1.2. Research objective(s)
- 1.3. Methodology
- 1.4. Expected results
- 1.5. Expected implications
- 1.6. References

Research project (excluding title page) should be around 2,000-4,000 words, references excluded.